

<b>Committee:</b>	Governance, Audit & Performance Committee	<b>Date:</b>
<b>Title:</b>	2021/22 Corporate Risk Register	Wednesday 30 <sup>th</sup> June 2021
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## Summary

1. This report provides members with an update of the 2021/22 Corporate Risk Register (CRR), which includes corporate-level risks that have been identified and managed during the 2021/22 year. The 2021/22 CRR has been thoroughly reviewed as a result of the ongoing Covid-19 pandemic to reflect the current circumstances and ensure appropriate mitigating actions are being progressed. An update is also provided regarding how risks will be monitored at a service level during the 2021/22 performance year within the authority and how these are intended to be managed in conjunction with the CRR. .

## Recommendations

2. The 2021/22 CRR is noted.

## Financial Implications

3. There are no direct financial implications associated with this report, other than the risk directly addressing Financial Control (21-CR-01).

## Background Papers

4. The following papers were referred to by the author in the preparation of this report:
  - Governance, Audit & Performance Committee Reports, providing previous updates on Uttlesford District Council's Corporate Risk Register.
  - Risk Management Strategy

## Impact

- 5.

Communication/Consultation	Internal communication on risk management is required through CMT and SMT meetings.
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Community Safety	None
Equalities	None beyond general management of equality and diversity impacts for identified risks.
Health and Safety	A corporate risk has been identified relating directly to Health & Safety ( <b>21-CR-03</b> ), and impacts are considered as part of this risk's update and review.
Human Rights/Legal Implications	None
Sustainability	None
Ward-specific impacts	None
Workforce/Workplace	None

## Situation

6. Appendix A presents the 2021/22 CRR, its current scores and information regarding the status of any current controls and mitigating actions in place.
7. The CRR consists of a number of strategic cross-cutting risks which if not controlled could have a serious impact on the council's ability to carry out both its statutory and non-statutory duties to residents.
8. The risks included in the CRR are considered to be those which are most critical for control, and which could affect the organisation at a corporate level if not managed effectively.
9. Whilst the Performance Team co-ordinate the review and update process for the CRR, the Chief Executive Officer and Directors have responsibility for the register and specific risks contained therein. Control of the risks and the implementation of associated mitigating actions is co-ordinated through the Corporate Management Team (CMT) and relevant Service Managers.
10. The structure, format and control of risks is consistent through both corporate and service-level registers. Each risk is structured to initially identify the basis of the risk occurring, its causative event and potential impacts on the council and/or service area. This structure is derived from the Risk Management Policy, which was adopted in the 2017/18 year.
11. The 2020/21 CRR was last reviewed in Autumn 2020, and presented to this committee in November 2020. This review was the first since the Covid-19 pandemic commenced.

12. The 2021/22 CRR has been produced through a review of risks identified in the 2020/21 register; ensuring all corporate risks included reflect the current position with regards to Covid-19. As a result in some cases, risk wording has been slightly revised. Whilst their structure has remained predominantly the same, particular emphasis has been placed on the potential impacts given current circumstances. As a fundamental part of this review, all risk owners were required to review Current Controls in place, and consider any Further Actions which need to be implemented to mitigate the risk.
13. All risk management data contained within Appendix A has been reviewed by CMT. A written progress update has also been included for each individual risk by the risk owner; providing further detail on relevant risk mitigation measures.
14. Members are asked to note the inclusion of a new risk to the CRR for 2021/22; **21-CR-11**. Focusing upon the climate emergency, this risk has been introduced by CMT following the council's declaration of the Climate Emergency. This will ensure the council is managing risks related to the implementation of actions identified as part of the Climate Change Action Plan. Relevant related service-level risks are also being introduced into 2021/22 service plans for appropriate service areas to ensure there is transparency of the management of these risks at an operational level.

### **Service-Level Risk Management**

15. The council has a newly-identified series of service-level risks which have been identified as part of the 2021/22 service planning process. These risks are focused on operational threats that could impact on day-to-day service provision. Service Managers have responsibility for both identifying these risks and also monitoring any control actions or mitigating measures.
16. At the end of Quarter 1 2021/22, Service Managers will be asked to provide a quarterly update for each service-level risk. This will involve a review of risk scorings, and consideration of Current Controls and Further Actions identified. It is intended this will be reported in July/August 2021 to CMT; providing re-assurance that operational risks are being managed effectively by Service Managers. The Performance Team will facilitate this update process, and provide advice as necessary.
17. Where relevant links to CRR risks will be made to provide further transparency and assurance regarding the management of necessary mitigating controls and actions.

### **Other Points**

18. The Performance Team intend to refresh UDC's Risk Management Policy during Quarter 2 2021/22. This policy update will enable the inclusion of the new service-level risk management process introduced for the 2020/21 & 2021/22 years, and incorporate lessons learnt regarding risk management during the Covid-19 pandemic.

**Risk Analysis**

19.

Risk	Likelihood	Impact	Mitigating actions
If risks are not properly identified or managed then preventable problems could occur and affect the council’s finances, reputation or resources. Conversely, opportunities to improve these aspects may be underutilised	1	3	The Council’s Risk Management Policy and Corporate Risk Register ensure effective risk management is placed centrally within its operations, both at a corporate level and service-level.

- 1 = Little or no risk or impact
- 2 = Some risk or impact – action may be necessary.
- 3 = Significant risk or impact – action required
- 4 = Near certainty of risk occurring, catastrophic effect or failure of project.